DT07 Rec'd PCT/PTO 0 8 DEC 2004

PTO-1390 (Rev. 10-2004) or use through 3/31/2007. OMB 0651-0021 Ap U.S. Patent and Traden office; U.S. DEPARTMENT OF COMMERCE

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ATTTORNEY'S DOCKET NUMBER

DESIGNATED/ELECTED OFFICE (DO/EO/US)	441P088
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPUCATIONNO (If known ages 7 (CR 1.5)
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP03/07730 18 June 2003	PRIORITY DATE CLAIMED 19 June 2002
TITLE OF INVENTION PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG COMPOSITE	
APPLICANT(S) FOR DO/EO/US  Takeshi Nakanishi and Kazuhisa Shimizu	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:	
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.	
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.	
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items	
(5), (6), (9) and (21) indicated below.	
4. X The US has been elected (Article 31).	
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
a. is attached hereto (required only if not communicated by the International Bureau).	
b. X has been communicated by the International Bureau.	
c. is not required, as the application was filed in the United States Receiving Office (RO/US).	
6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).	
a. X is attached hereto.	
b. has been previously submitted under 35 U.S.C. 154(d)(4).	
7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))	
a. are attached hereto (required only if not communicated by the International Bureau).	
b. have been communicated by the International Bureau.	
c. have not been made; however, the time limit for making such amendments has NOT expired.	
d. have not been made and will not be made.	
8. An English language translation of the amendments to the claims under PCT A	Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT
Items 11 to 20 below concern document(s) or information included:	
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. A preliminary amendment.	
14. An Application Data Sheet under 37 CFR 1.76.	
15. A substitute specification.	
16. A power of attorney and/or change of address letter.	
17. A computer-readable form of the sequence listing in accordance with PCT Rule	e 13ter.2 and 37 CFR 1.821- 1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(	d)(4).

19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

1. Copy of Notification of the Recording of a Change.

3. Form PCT/IB/308

20. X Other items or information: 2. Copy of the Int. Search Report.

4. Form FCT/IB/304

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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PTO-1390 (Rev. 10-2004)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CER 1.5) PCT/JP03/07730 441P088 **CALCULATIONS** PTO USE ONLY 21. X The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO......\$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ......\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .......\$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ......\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ......\$100.00 950.00 ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months 130.00 from the earliest claimed priority date (37 CFR 1.492(e)). RATE \$ **NUMBER EXTRA** NUMBER FILED **CLAIMS** \$ Х \$18.00 Total claims 10 - 20 = 0 0 х \$88.00 \$ 0 Independent claims 2 0 \$300.00 + MULTIPLE DEPENDENT CLAIM(S) (if applicable) 300100 1,380.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced SUBTOTAL = 380.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = 380.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 380.00 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of \$  $\underline{1}$  ,  $\underline{380.00}$ to cover the above fees is enclosed. \_\_\_\_\_ to cover the above fees. Please charge my Deposit Account No. in the amount of \$ \_ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.  $\underline{14-0930}$ . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO: 42754 Nields & Lemack 176 E. Main Street Suite 7 Lemack Westboro, MA 01581 REGISTRATION NUMBER

The PIO did not receive the following
13000 (1000(5) \$1,280.00 cheque
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Page 2 of 2

# DT05 Rec'd PCT/PTO 0 8 DEC 2004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Takeshi Nakanishi et al.

Serial No.

Not yet assigned (PCT/JP03/07730)

Filed

Herewith By Express Mail (18 June 2003)

For

PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG

**COMPOSITE** 

Examiner

: Not yet assigned

Art Unit

Not yet assigned

Attorney

Docket No.

441P088

Type of paper

Translation of the International Application into English

# "EXPRESS MAIL" MAILING LABEL NO ER 908004590 US DATE OF DEPOSIT: December 8, 2004

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Mail Stop: PCT, Alexandria, VA 22313-1450.

Ann Marie Mahan

Name of person mailing paper or fe

**Applicants** 

: Takeshi Nakanishi et al.

Serial No.

Not yet assigned (PCT/JP03/07730)

Filed

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PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG

**COMPOSITE** 

Examiner

Not yet assigned

Art Unit

Not yet assigned

Attorney

Docket No.

441P088

Type of paper

Transmittal Letter To The United States Designated/Elected

Office (DO/EO/US) Concerning A Submission Under 35 U.S.C.

371

# "EXPRESS MAIL" MAILING LABEL NO ER 908004590 US DATE OF DEPOSIT: December 8, 2004

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**Applicants** 

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Letter

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Ann Marie Mahan

Name of person mailing paper or fe

Signature of person mailing paper or fee

l

#### Attorney Docket No. 441P088

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takeshi Nakanishi and Kazuhisa Shimizu

For:

PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG COMPOSITE

Commissioner for Patents P.O. Box 1450 Mail Stop: PCT Alexandria, VA 22313-1450

Sir:

**LETTER** 

The above-identified applicants enclose for filing the national phase of a PCT patent application (PCT/JP03/07730) entitled "PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG COMPOSITE ". Said attached PCT patent application includes (1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2-Pages); (2) Translation of the International Application into English: PCT Request (7-Pages), 20-Page Specification (including 2-Pages of Claims (8) and 1-Page Abstract); (3) Check in the amount of \$1,380.00 in payment of the Filing Fee; (4) Copy of the International Search Report (2-pages); (5) Copy of the Notification of the Recording of a Change (Form PCT/IB/306)(1-Page), together with 7-Pages showing change in Applicants' name; (6) Copy of the Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)(1-Page); and (7) Copy of the Notification Concerning Submission of Priority Document (Form PCT/IB/304)(1-Page).

The executed Declaration will be submitted in due course.

All correspondence concerning this application should be mailed to: CUSTOMER NO: 42754

Kevin S. Lemack Nields & Lemack 176 E. Main Street - Suite 7 Westboro, Massachusetts 01581

Respectfully submitted,

Kevin S. Lemack Attorney for Applicant

Registration No. 32,579

Date: \_\_\_\_December 8, 2004\_\_\_

EXPRESS MAIL NO: ER 908004590 US

## DT05 Rec'd PCT/PT0 0 8 DEC 2004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Takeshi Nakanishi et al.

Serial No.

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PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG

**COMPOSITE** 

Examiner

Not yet assigned

Art Unit

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Ann Marie Mahan

Name of person mailing paper fee



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PROCESS FOR PRODUCING BLOCK COPOLYMER-DRUG

**COMPOSITE** 

Examiner

: Not yet assigned

Art Unit

Not yet assigned

Attorney

Docket No.

441P088

Type of paper

**Copy of the International Search Report** 

#### "EXPRESS MAIL" MAILING LABEL NO ER 908004590 US DATE OF DEPOSIT: December 8, 2004

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Ann Marie Mahan

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**Applicants** 

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Examiner

Not yet assigned

Art Unit

Not yet assigned

Attorney

Docket No.

441P088

Type of paper

Copy of Notification Concerning Submission or Transmittal of

**Priority Document (Form PCT/IB/304)** 

## "EXPRESS MAIL" MAILING LABEL NO ER 908004590 US DATE OF DEPOSIT: December 8, 2004

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Examiner

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Art Unit

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Attorney

Docket No.

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Copy of Notice Informing the Applicant of the Communication of

the International Application to the Designated Offices (Form

PCT/IB/308)

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\_\_\_\_ Ann Marie Mahan

Name of person mailing paper or fee

# Rec'd PCT/PT0 08 TEC 200

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**Applicants** 

: Takeshi Nakanishi et al.

Serial No.

Not yet assigned (PCT/JP03/07730)

Filed

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**COMPOSITE** 

Examiner

Not yet assigned

Art Unit

Not yet assigned

Attorney

Docket No.

441P088

Type of paper

Copy of Notification of the Recording of a Change (Form

PCT/IB/306), together with 7-Pages showing change in Applicants

Name.

# "EXPRESS MAIL" MAILING LABEL NO ER 908004590 US DATE OF DEPOSIT: December 8, 2004

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